

## **INFORMATION ON DATA PROTECTION**

### **For the ANNUAL GENERAL MEETING OF AIXTRON SE to be held on 15 May 2019 in Aachen/Germany**

The company processes personal data as the controller within the meaning of Art. 4(7) Regulation (EU) 2016/679 (GDPR), such as: particulars (e.g. name), contact information (e.g. address, e-mail address), information about the shares (e.g. number of shares) and administrative data on the grounds of applicable data privacy provisions so as to enable shareholders and their proxies to exercise their rights within the framework of the Annual General Meeting. The shares of AIXTRON SE are registered shares which according to Section 67 AktG are to be registered in the company's share register, stating the name, the date of birth and the address of the shareholder as well as the number of the share certificate. The company is legally represented by its Executive Board, namely Dr. Felix Grawert and Dr. Bernd Schulte.

The company's contact details in the capacity as the controller are:

AIXTRON SE  
Dornkaulstrasse 2  
52134 Herzogenrath  
Controller: Dr. Bernd Schulte (member of the Executive Board of AIXTRON SE)  
Email: [AIXTRON-HV@aixtron.com](mailto:AIXTRON-HV@aixtron.com)

The company is obliged by law to conduct the Annual General Meeting in accordance with the stipulations set forth in the German Stock Corporation Act. Processing the personal data of the shareholders and their proxies is mandatory to ensure participation in the Annual General Meeting. The company assumes the role of the controller in relation to the processing of personal data. Article 6, para. 1, lit. c) of the GDPR forms the legal basis for the data processing.

Personal data are processed for the purpose of the preparation, the implementation and the processing of the Annual General Meeting and here in particular for keeping the share register, to communicate with shareholders and to create the minutes of

the Annual General Meeting. Moreover, personal data are also processed based on our legal obligations, including, but not limited to, retention requirements under the German Stock Corporation Act, German commercial law and tax law.

As a matter of principle, the company does not share personal data with third parties. In the exceptional case, third parties (such as service providers contracted for the Annual General Meeting, lawyers or auditors) who have been commissioned in connection with the organization of the Annual General Meeting, will receive such personal data from the company that are necessary to render the contracted service. They process the data exclusively upon instruction of the company.

The company will store, subject to statutory requirements that may come into effect after the Annual General Meeting, the personal data of shareholders and their proxies based on currently applicable statutory retention requirements for a period of ten years, starting as from the end of 2019. As the individual case may be, personal data may be stored for a longer period if the data will be required to process requests, decisions or legal procedures related to the Annual General Meeting.

We would like to point out that photos may be taken during the event, subject to the protection of privacy rights (cf. Art. 6 para. 1 lit. f) GDPR).

The shareholders and their proxies have the rights as specified in Chapter III of the GDPR, such as the right of access in accordance with Art. 15 GDPR; the right to request without undue delay the rectification of inaccurate personal data in accordance with Art. 16 GDPR; or in accordance with Art. 17 GDPR the right to request the immediate erasure of personal data (right to be forgotten); in accordance with Art. 18 GDPR the right to the restriction of processing of personal data and the right, according to Art. 20 GDPR, to receive the personal data in a structured, commonly used and machine-readable format that conforms with legal requirements and to transmit those data to another controller without hindrance (right to data portability).

These rights may be asserted against the company free of charge using the following contact information:

AIXTRON SE  
Dornkaulstrasse 2  
52134 Herzogenrath  
Controller: Dr. Bernd Schulte (member of the Executive Board of AIXTRON SE)  
Email: [AIXTRON-HV@aixtron.com](mailto:AIXTRON-HV@aixtron.com)

Additionally, shareholders and their proxies have the right to lodge a complaint in accordance with Article 77 GDPR, with a competent supervisory authority, in particular in the Member State of their habitual residence, place of work or place of alleged infringement.

The shareholders and their proxies can reach our data protection officer as follows:

INTEGRITY  
Gesellschaft für Datenschutz, Geldwäscheprävention und Compliance  
Jülicher Strasse 215  
52070 Aachen  
Email: [datenschutz@aixtron.com](mailto:datenschutz@aixtron.com)

- Information pertaining to data and privacy protection may also be retrieved from the company's website at [www.aixtron.com/hv](http://www.aixtron.com/hv).
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